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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
With Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

S-844-US

First Named Inventor

Nikolai F. G. Schwabe

COMPLETE IF KNOWN

Application Number

Filing Date

HEREWITH

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CHIMERIC MHC PROTEIN AND OLIGOMER THEREOF

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

08/14/2003

as United States Application Number or PCT International

Application Number

PCT/EP03/09056

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
0219459.5	GB	08/21/2002	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number: 2071 OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])
Nikolai Franz Gregor

Family Name

or Surname
Schwabe

Inventor's

Signature

Date

Residence: City

State

Country

Citizenship

Oxford

Great Britain

Germany

Mailing Address

70 Cardigan Street, Oxford OX2 6BP, Great Britain

City

State

ZIP

Country

Oxford

OX2 6BP

Great Britain

NAME OF SECOND INVENTOR:☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])
Linda

Family Name

or Surname
Cheng-Choo Tan

Inventor's

Signature

Date

Residence: City

State

Country

Citizenship

Oxford

Great Britain

Singapore

Mailing Address

70 Cardigan Street, Oxford OX2 6BP, Great Britain

City

State

ZIP

Country

Oxford

OX2 6BP

Great Britain



Additional inventors or a legal representative are being named on the 2 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
Page <u>3</u> of <u>4</u>	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Catherine Elizabeth		Napper	
Inventor's Signature		Date	
Coggs Residence: City	Whitney State	Great Britain Country	Great Britain Citizenship
190 Blakes Avenue, Coggs, Whitney OX28 3UD, Great Britain			
Mailing Address			
Mailing Address			
Coggs City	Whitney State	OX28 3UD Zip	Great Britain Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jeremy William		Fry	
Inventor's Signature		Date	
Nuneham Park Residence: City	Nuneham Courtney State	Great Britain Country	Great Britain Citizenship
2 Bothy Cottage, Nuneham Park, Nuneham Courtney OX44 9PQ, Great Britain			
Mailing Address			
Mailing Address			
Nuneham Park City	Nuneham Courtney State	OX44 9PQ Zip	Great Britain Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Susan		Pang	
Inventor's Signature		Date	
Lincoln Residence: City	State	Great Britain Country	Great Britain Citizenship
109 Laughton Way, Lincoln LN2 2ES, Great Britain			
Mailing Address			
Mailing Address			
Lincoln City	State	LN2 2ES Zip	Great Britain Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Rachel Kate		Spooner	
Inventor's Signature		Date	
Oxford Residence: City	State	Great Britain Country	Great Britain Citizenship
25 Mortimer Drive, Oxford OX3 0RU, Great Britain Mailing Address			
Mailing Address			
Oxford City	State	OX3 0RU Zip	Great Britain Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Nikolai Franz Gregor Schwabe et al.Application No./Patent No.: ---- Filed/Issue Date: HerewithEntitled: Chimeric MHC Protein and Oligomer ThereofProlimmune Limited, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

23 JAN 2004

Date

DR NIKOLAI SCHWABE

Typed or printed name

+44 1865 405 128

Telephone number

Nikolai Schwabe

Signature

CEO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	----
Filing Date	Herewith
First Named Inventor	Nikolai Franz Gregor Schwabe
Title	Chimeric MHC Protein and...
Art Unit	----
Examiner Name	----
Attorney Docket Number	S-844-US

I hereby appoint:



Practitioners associated with the Customer Number:

2071

OR



Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:



The address associated with the above-mentioned Customer Number:

OR



The address associated with Customer Number:

OR

<input type="checkbox"/>	Firm or Individual Name				
	Address				
	Address				
	City		State		Zip
	Country				
	Telephone		Fax		

I am the:



Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	By Dr Nikolai Schwabe, CEO, on behalf of Prolimmune Limited		
Signature	<i>Nikolai Schwabe</i>		
Date	23 January 2004	Telephone	+ 44 1865 405 128

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ASSIGNMENT

Serial No. _____ Filing Date: _____ Attny Docket No. S-844-US

We, **NIKOLAI FRANZ GREGOR SCHWABE**, a citizen of Germany, residing at 70 Cardigan Street, Oxford OX2 6BP, Great Britain; **LINDA CHENG-CHOO TAN**; a citizen of Singapore, residing at 70 Cardigan Street, Oxford OX2 6BP, Great Britain; **CATHERINE ELIZABETH NAPPER**, a British citizen, residing at 190 Blakes Avenue, Coggs, Whitney OX28 3UD, Great Britain; **JEREMY WILLIAM FRY**, a British citizen, residing at 2 Bothy Cottage, Nuneham Park, Nuneham Courtney OX44 9PQ, Great Britain; **SUSAN PANG**, a British citizen, residing at 109 Laughton Way, Lincoln LN2 2ES, Great Britain; and **RACHEL KATE SPOONER**, a British citizen, residing at 25 Mortimer Drive, Oxford OX3 0RU, Great Britain, have made at least one invention, improvement or discovery described in patent application papers having the above designated case number and entitled

CHIMERIC MHC PROTEIN AND OLIGOMER THEREOF

and for which invention, improvement or discovery we are about to make application for Letters Patent of the United States of America, said application bearing the above Attorney Docket No. **S-844-US**.

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we hereby transfer, set over and assign to ProImmune Limited a Corporation of Great Britain, having a place of business at Oxford BioBusiness Center, Littlemore Park, Littlemore, Oxford OX4 4SS, Great Britain, its successors and assigns, our entire right, title and interest in, to and under said application for Letters Patent and all inventions, improvements and discoveries, whether joint or sole, disclosed therein, and the resultant patent or patents thereon and any renewals, reissues, extensions, substitutions, continuations, continuation-in-part or divisions thereof, including all priority rights arising in other countries from said application and all foreign rights in and to said inventions, improvements and discoveries.

We further agree to execute such further papers, and perform such other proper acts as ProImmune Limited may deem necessary to secure to it the rights herein conveyed; and we hereby authorize the insertion into this Assignment of the serial number and filing date of said application.

Inventor _____
NIKOLAI FRANZ GREGOR SCHWABE

Witness Signature: _____
Printed Name: _____

Inventor _____
LINDA CHENG-CHOO TAN

Witness Signature: _____
Printed Name: _____

Inventor _____
CATHERINE ELIZABETH NAPPER

Witness Signature: _____
Printed Name: _____

Inventor _____
JEREMY WILLIAM FRY

Witness Signature: _____
Printed Name: _____

Inventor _____
SUSAN PANG

Witness Signature: _____
Printed Name: _____

Inventor _____
RACHEL KATE SPOONER

Witness Signature: _____
Printed Name: _____

(Although not mandatory, it is recommended that this document be properly
notarized and that Apostille be procured)